

Docket No.:582/9-2294

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Albert BAUER

Conf. No.:

Serial No.: to be assigned,
based on PCT International application no. EP2005/051516

International Filing Date: 05 April 2005

For: COOLING AND/OR HEATING DEVICE

Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

The applicant in the above-referenced matter wish to bring to the Examiner's attention the cited references listed on the enclosed Form PT0/SB/08. A copy of each cited reference(s) (3), is included. A copy of the International Search Report is also enclosed herewith for the statement of relevance.

No representation is made as to the materiality of this information by this submission. Since submission of this document is before the first office action, no fee is included. If there is a fee required at this time, the Commissioner is authorized to charge our Deposit Account No.: 04-0838.

Respectfully submitted,

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	
Filing Date	2006-10-02
First Named Inventor	Albert BAUER
Art Unit	
Examiner Name	
Attorney Docket Number	582/9-2294

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Receipt date: 10/02/2006

Application Number

10599590 - GAU: 3785

Filing Date

2006-10-02

First Named Inventor

Albert BAUER

Art Unit

Examiner Name

Attorney Docket Number

582/9-2294

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Examiner Signature	/Lilijana Ciric/	Date Considered	12/06/2010
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¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

Receipt date: 10/02/2006

Application Number

10599590 - GAU: 3785

Filing Date

2006-10-02

First Named Inventor

Albert BAUER

Art Unit

Examiner Name

Attorney Docket Number

582/9-2294

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication

from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

That no item of information contained in the information disclosure statement was cited in a communication from a

foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification

after making reasonable inquiry, no item of information contained in the information disclosure statement was known to
any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure
statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	WJS/	Date (YYYY-MM-DD)	2006-10-02
Name/Print	William J. Sapone	Registration Number	32518

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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